

CITY OF BRISBANE
CASH BALANCES & INVESTMENTS
SOURCE OF FUNDING
October 31, 2013

NAME OF DEPOSITORY	INVESTMENT TYPE	DATE OF INVESTMENT	FACE VALUE OF INVESTMENT	CARRY VALUE OF INVESTMENT	MARKET VALUE OF INVESTMENT	COUPON INTEREST RATE %	MATURITY DATE	RATING/ COLLATERAL
BANK OF AMERICA	Checking A/C		\$ 3,317,813	\$ 3,317,813	\$ 3,317,813	0.000		100% collateral
BANK OF AMERICA	Savings		\$ 11,662	\$ 11,662	\$ 11,662	0.040		
STATE FUND (LAIF)	Deposit on call	continuous	\$ 5,034,609	\$ 5,034,609	\$ 5,034,609	0.270	on call	no rating
Other Investments								
	FFCB	4/17/2013	\$ 500,000	\$ 500,000	\$ 495,285	0.690	4/17/2017	
	FFCB	5/1/2013	\$ 500,000	\$ 500,000	\$ 494,620	0.690	5/1/2017	
	FFCB	5/30/2013	\$ 500,000	\$ 500,000	\$ 496,255	0.750	5/30/2017	
	FFCB	12/5/2012	\$ 1,000,000	\$ 999,400	\$ 989,680	0.770	6/5/2017	
	FHLB	6/13/2013	\$ 500,000	\$ 500,000	\$ 495,930	0.750	6/13/2017	
	FFCB	7/3/2013	\$ 500,000	\$ 500,000	\$ 500,070	1.480	7/3/2017	
	FFCB	11/16/2012	\$ 500,000	\$ 500,000	\$ 492,320	0.940	11/13/2017	
	FFCB	11/27/2012	\$ 1,000,000	\$ 1,000,000	\$ 986,875	0.870	11/27/2017	
	FNMA	8/28/2013	\$ 500,000	\$ 500,000	\$ 501,910	1.500	11/28/2017	
	FHLB	2/20/2013	\$ 500,000	\$ 500,000	\$ 493,438	1.100	2/20/2018	
	FNMA	2/27/2013	\$ 500,000	\$ 500,000	\$ 490,660	1.050	2/27/2018	
	GE Capital Corporation	6/7/2013	\$ 500,000	\$ 498,750	\$ 499,235	1.625	4/28/2018	
	FHLB	4/23/2018	\$ 500,000	\$ 500,000	\$ 492,790	1.000	4/23/2018	
	FHLM	7/25/2013	\$ 1,000,000	\$ 1,000,000	\$ 1,006,460	1.800	7/25/2018	
BONY	Treasury Obligations	continuous	\$ 237,968	\$ 237,968	\$ 237,968	0.000	on call	110% collateral
Sub-total			\$ 8,737,968	\$ 8,736,118	\$ 8,667,495			
U. S. Trust (Cash held by fiscal agents)	2012 Utility (320)	Refinancing	Fed Treas Obl	\$ -	10030			
		Revenue Fund	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest Fund	Fed Treas Obl	\$ -	10037			
	Pension Bonds (340)	Expense Fund	Fed Treas Obl	\$ 0	10035			
	BPPA 2001 Series A (370)	Reserve	Fed Treas Obl	\$ 2,083,254	10032			
		Debt Service	Fed Treas Obl	\$ -	10036			
		Interest	Fed Treas Obl	\$ 533,994	10037			
	BPPA 2001 Series B (375)	Purchase Fund	Fed Treas Obl	\$ 5,145	10028			
		Escrow	Fed Treas Obl	\$ -	10030			
		Reserve	Fed Treas Obl	\$ 839,791	10032			
		Surplus Fund	Fed Treas Obl	\$ -	10033			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ -	10036			
		Interest	Fed Treas Obl	\$ -	10037			
	BPPA 2009A City Hall (367)	Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 195,056	10032			
		Revenue Fund	Fed Treas Obl	\$ 225,682	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest	Fed Treas Obl	\$ 1	10037			
	BPPA 2005B City Hall(366)	Improvements	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest	Fed Treas Obl	\$ 1	10037			
	2005 Refunding (365)	Improvements	Fed Treas Obl	\$ -	10030			
		Revenue Fund	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ -	10036			
	NER Assessment 2001 (795)		Fed Treas Obl	\$ -	10030			
		Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 1,067	10032			
		Redemption	Fed Treas Obl	\$ -	10039			
	NER Refinance (796)		Fed Treas Obl	\$ -	10030			
		Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 250,003	10032			
		Redemption	Fed Treas Obl	\$ 6,475	10035			
Sub-total	Cash with Fiscal Agents			\$ 4,140,467				
Total other investments			\$ 8,737,968	\$ 12,876,585	\$ 8,667,495			
TOTAL INVESTMENTS & CASH BALANCES			\$ 17,102,051	\$ 21,240,669	\$ 17,031,579			

Outstanding Loans to Department Heads

	Date of loan	Amount	Amount Remaining	Interest Rate
Stuart Schillinger	4/1/2002	318,750	\$ 318,750	Based on Sales Price
Clay Holstine (1)	7/8/2008	300,000	\$ 264,695	2.25%
Clay Holstine (2)	9/10/2008	200,000	\$ 200,000	Secured by other funds
Randy Breault	10/22/2001	320,000	\$ 224,524	Variable (1.24%)

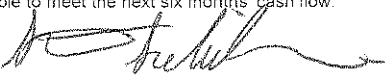
FFCB - Federal Farm Credit Bank
FHLB - Federal Home Loan Bank
FHLM - Federal Home Loan Mortgage Corporation
FNMA -Federal National Mortgage Association

Two year Treasury	0.30%	
Weighted interest	0.51%	
Weighted maturity	2.28	Years

TREASURER'S CERTIFICATE

These are all the securities in which the city funds including all trust funds and oversight agencies funds are invested and that (excluding approved deferred compensation plans) and that all these investments are in securities as permitted by adopted city policy.

It is also certified that enough liquid resources (including maturities and anticipated revenues) are available to meet the next six months' cash flow.


CITY TREASURER

CITY OF BRISBANE
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FUND NO.	FUND TITLE	CASH/INVESTMENT BALANCE
100	General Fund	2,905,181
200	Gas Tax Fund	309,417
201	Traffic Congestion Relief Fund	-
203	Proposition 1B	-
205	Measure A Funds	578,576
210	Sierra Pt. Lighting & Landscape Fund	(365,086)
220	NPDES	(114,726)
230	Open Space Fund	-
250	OTS Grant	22,524
251	L.L.E.B.G.	27,273
252	Technology Grant	-
253	COPS/State Funded Personnel Grant	-
254	SLESF Grant	28,653
265	Public Works Grant	-
257	BZPP Grant	-
258	Recycling Grant	-
259	PEG Equipment Grant	41,478
268	Contract Employees	47,467
270	Fire Training	3,141
310a	2002 Utility Bond	-
310	2002 Utility Bond w/Fiscal Agent	-
320a	2012 Utility Bond	-
320	2012 Utility Bond w/Fiscal Agent	-
340	Pension Obligation Bonds	-
340a	Pension Obligation Bonds w/ Fiscal Agent	0
341	Pension Obligation Bonds 2013	(242)
341a	Pension Obligation Bonds w/ Fiscal Agent 2013	-
360	COP 95 Debt Service	-
365a	Refunding 2005 w/Fiscal Agent	-
365	Refunding 2005	-
366	BPFA 2005 B City Hall	-
366a	BPFA 2005 B City Hall w/Fiscal Agent	1
367	BPFA 2009 A City Hall	-
367a	BPFA 2009 A City Hall w/Fiscal Agent	420,738
370 a	BPFA 2001 A	2,617,248
370	BPFA 2001 A w/Fiscal Agent	210,906
375a	BPFA 2001 Series B w/Fiscal Agent	844,935
375	BPFA 2001 Series B	20,660
399	General Longterm Debt	-
400	Capital Project Labor	406,261
410	Tunnel Bridge	-
430	LID 79-1 Construction Fund	-
440	Special Beautification Proj.Fund	2,837
450	Facilities Fees	2,093,422
540	Utility Fund	2,650,383
545	Utility Capital Fund	(1,078,232)
550	Marina Fund	(929,002)
555	Marina Capital Reserve Fund	1,933,695
600	Fringe Benefits Fund	11,784
610	Flexible Benefits Trust	150,867
620	Dental Insurance Fund	83,816
630	General Liability Insurance Fund	870,359
640	Workers Compensation Insurance Fund	206,346
650	Retiree Stipend	4,195
690	Rainy Day Fund	3,771,266
700	Event Insurance	(147)
705	Professional Organizations	(457)
715	NER/Landmark Trust	21,216
722	Brisbane Recycling	6,397
725	Playground Equipment	7,314
730	Open Space	101
750	Sister City Fund	833
751	Piano Fund	202
760	OPUS Permits/Fees	6,025
765	OPUS Development Trust	39,509
768	HCP Endowment Trust	1,782,665
770	Revolving -I N.E.R.	689
775	Revolving II S.P. & TUNTEX	204,465
777	Margaret/Paut	15,159
780	Baylands Revolving	(138,027)
781	Baylands EIR	(34,502)
782	Geneva/Candlestick	(48,094)
783	Recology	307,318
785	Quarry Reimbursement	(148,012)
786	Slough Estates	5,789
787	Opus - Sierra Pt	-
790	Hotel Reimbursement	(24,094)
795a	NER Assessment	314,773
795	NER Assessment w/Fiscal Agent	1,067
796a	NER Refinance	(256,478)
796	NER Refinance w/Fiscal Agent	256,478
883	Housing Authority	865,789
	Outstanding checks/deposits/adjustments	278,548
	TOTAL CASH RESOURCES	<u>21,240,669</u>